

**TOM MBOYA UNIVERSITY-SERC**  
**Corrective and Preventative Action Plan (CAPA Plan)**

*V.1 March 2026*

**Corrective and Preventative Action Plan (CAPA Plan)**

- I.** Description of the Problem
  - a. Narrative of events:
  - b. Number of subjects actually harmed
  - c. Number of subjects potentially harmed (including in other studies, if applicable)
  - d. [Include if any corrective actions have already been taken; otherwise, delete section]
  - e. Classification of severity and causality of event
  
- II.** Causes of the Problem
  - a. Method of investigation
  - b. Conclusions
  
- III.** Preventative Actions
  - a. Creation and Documentation of New Process(es)
  - b. Description of New Process(es)
  - c. Plan for Training
  - d. Plan for Evaluation
  - e. Plan for Revision
  - f. Plan for Reporting to the ISERC